



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assignee: Lumileds Lighting, U.S., LLC

Title: Lighting Arrangement

Serial No.: 10/726,854 Filing Date: December 2, 2003

Examiner: Unknown Group Art Unit: Unknown

Docket No.: LUM-PHNL021197

> San Jose, California May 10, 2004

COMMISSIONER FOR PATENTS P. O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A and PTO/SB/08B are called to the attention of the Examiner for the above patent application. Copies of the cited articles are enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
  - 2. a representation that a search has been made; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 10, 2004.

Reg. No. 46,868

Respectfully submitted,

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PTO/SB/08A (08-03)

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Complete if Known

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/726,854			
Filing Date	December 2, 2003			
First Named Inventor	Nijhof et al.			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	I UM-PHNI 021197			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		US- 5,517,397 A	05 14 1006	Ouck Eng H et al	3
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		<sup>US-</sup> 5,719,474 A	02-17-1998	Robert J. Vitello	
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	Cite No.1	1	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Τ
				Or Relevant Figures Appear	T	
		EP 0 372 181 A	06-13-1990	Timex Corp.		
		EP 0 905 972 A	03-31-1999	Murata Manufacturing		
		WO 01/05193 A1	01-18-2001	Jacobs et al.		
						L

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO		Complete if Known			
Constitute for form 1443/1 TO			Application Number	10/726,854	
INFORMAT	TON DIS	CLOSURE	Filing Date	December 2, 2003	
(Use as many sheets as necessary)			First Named Inventor	Nijhof et al.	
			Art Unit	Not yet assigned	
(Use as many sneets as necessary)		Examiner Name	Not yet assigned	<u></u>	
Sheet 2	of	2	Attorney Docket Number	LUM-PHNL021197	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report	
Examiner Signature		Date Considered	

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